

MP 81
PATENT

COPY OF PAPERS
ORIGINALLY FILED

MAR 26 2002

Case Docket No. AVIGEN.004A
Date: March 21, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : James Allen
App. No. : 10 056,788
Filed : January 23, 2002
For : IMPROVED MUSCLE-
DIRECTED GENE
TRANSFER BY USE OF
RECOMBINANT AAV-1 AND
AAV-6 VIRIONS

) I hereby certify that this correspondence and all marked
) attachments are being deposited with the United States
) Postal Service as first class mail in an envelope addressed
) to: United States Patent and Trademark Office, P.O. Box
) 2327, Arlington, VA 22202, on

) 3/21/02
) (Date)

) Jennifer A. Haynes, Ph.D., Reg. No. 48,868
)
)
)

Group Art Unit : 1632

TRANSMITTAL LETTER

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on February 19, 2002, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) A Notice to File Missing Parts.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:

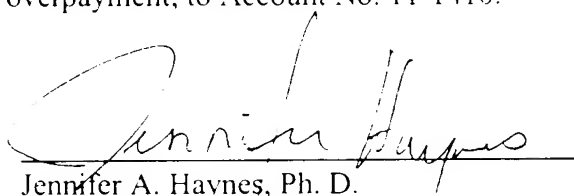
PATENT

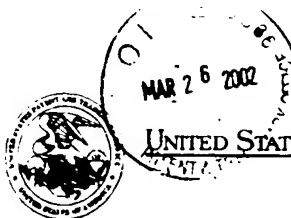
Case Docket No. AVIGEN.004A

Date: March 21, 2002

FILING FEE	\$ 370
SURCHARGE 37 CFR 1.16(e)	\$ + 65
TOTAL OF ABOVE CALCULATIONS	\$ 435
TOTAL FEES SUBMITTED HEREWITH	\$ 435

- (X) A check in the amount of \$435.00 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.


Jennifer A. Haynes, Ph. D.
Registration No. 48,868
Agent of Record



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/056,788	01/23/2002	Alan McClelland	AVIGEN.004A

20995
KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660

CONFIRMATION NO. 9362

FORMALITIES LETTER



0C00000007495234

Date Mailed: 02/19/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.

*A copy of this notice **MUST** be returned with the reply.*

Getilu

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE